

Name of Institution:

Location:

ANTI - MONEY LAUNDERING (AML) QUESTIONNAIRE

1. Is money laundering considered a crime in Your country?

Yes No

2. Have your local regulatory authorities implemented legislation concerning AML requirements according to EU Third Money Laundering Directive?

Yes No

If yes, provide list of basic laws (title, entered in force, amendments made):

If no, has Your country's Law on AML conform to general requirements of EU Third Money Laundering Directive?

Yes No

Financial institutions should be required to establish and maintain internal procedures, policies and control to prevent money laundering and terrorist financing.

3. Has Your institution developed a written AML policy / program?

Yes No

Does it apply to all Financial institution's branches and subsidiaries?

Yes No

4. Is the AML policy / program approved by the Financial institution's board of directors (top management) and the approval entered in the minutes?

Yes No

If yes, date of approval: _____

5. Does the Financial institution's AML policy / program include procedural guidelines for:

a) Know Your Customer - KYC (identification, acceptance policy, on-going monitoring of high risk accounts, risk management)?

Yes No

b) detection, prevention and reporting of suspicious transactions related to money laundering activities?

Yes No

c) provisions for document retention?

Yes No

6. Does the Financial institution have written procedures to monitor large cash deposits and withdraw?

Yes No

7. Please indicate reporting agency (FIU) or similar institution in your country:

8. Has the Financial institution appointed person responsible for AML compliance?

Yes No

If yes, please provide the name and contacts: _____

9. Does the regulatory (supervisory) body examine the Financial institution for AML compliance?

Yes No

If yes, date of last examination: _____

10. Does the Financial institution has an internal audit or control function that assesses AML practices? Yes No

11. Does the Financial institution provide AML related training to its employees? Yes No

12. Please provide us information about the regulatory (supervisory authority) body: name, website address. Yes N

13. Does your institution have a policy prohibiting relationships with shell banks (a bank with no physical presence in a jurisdiction) Yes No

14. Does your institution have a policies reasonably designed to prevent dealing with shell banks through any of its accounts or products.

Yes No

15. Does your firm do business with Politically exposed Persons or os Senior Political Figures as defined by the U S. Patriot Act ?

Yes No

I _____ (name), hereby certify that I have read and understand this questionnaire and that the statements made in this questionnaire are true and correct. I undertake to notify immediately the Bank in writing about any changes in the mentioned information.

Name, Surname

Signature

Position

Date